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#### MIT 6962 CIP(2) Attorney Docket Number John T. Santini, Jr. **First Named Inventor** COMPLETE IF KNOWN 09 665,303 Application Number September 19, 2000 Filing Date **Group Art Unit Examiner Name**

### **DECLARATION FOR UTILITY OR** DESIGN PATENT APPLICATION (37 CFR 1.63) ☐ Declaration ■ Declaration OR Submitted Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) with Initial Filing required)

As a below named inventor,	I hereby declare that:								
My residence, post office address, and citizenship are as stated below next to my name.									
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plustinames are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled.									
MICROCHIP DRU	JG DELIVERY	DEVICES		8 20 MAIL					
the specification of which is attached hereto	(Title	of the Invention)		R00					
OR was filed on (MM/DD/Y	YYY) 09/19/2000	as United	d States Applicati	ion Number or PCT International					
Application Number 09/665.	.303 and wa	s amended on (MM/DD/Y)	YY)	(if applicable).					
I hereby state that I have review amended by any amendment s	wed and understand the c	ontents of the above ident	ified specification	n, including the claims, as					
I acknowledge the duty to discl			defined in 37 CF	R 1.56.					
		,							
certificate, or 365(a) of any PC America, listed below and have	I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.								
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO					
			0000	0000					
☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:									
I hereby claim the benefit und		y United States provisiona	l application(s) lis	sted below.					
Application Number(s)	) Filing Date	e (MM/DD/YYYY)	numb suppl	onal provisional application ers are listed on a emental priority data sheet SB/02B attached hereto.					

[Page 1 of 2]

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United States of United States of Information which	t America r PCT Inte ch is mate	t under 35 U.S.C a, listed below ar emational applica erial to patentabi nternational filing	nd, inso ition in t lity as d	far as the subj the manner prote tefined in 37 Cl	ect matte vided by t FR 1.56 v	r of ea he first	ch of th	e daim:	s of this	applica	ation Is	not disclosed	in the prior
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	John	T.						5	Santi	ni, Jr.			
Inventor's Signature		John	.T.	Sant	£ , 5	<del>7</del> .						Date	10/23/00
Residence:	City	Belmont		State	MA		Country	U:	S			Citizenship	US
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City		Belmont	State	MA	ZI	ρ	024	178		Cou	intry	US	
<b>■</b> Additiona	l invento	ers are being na	amed c	on the 1 su	ıpplemer	ntal Ad	Iditiona	Inver	ntor(s) :	sheet(s	) PTO	/SB/02A atta	ched heret

Filed: September 19, 2000

MICROCHIP DRUG DELIVERY DEVICES

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# **DECLARATION**

## **ADDITIONAL INVENTOR(S)** Supplemental Sheet Page 1 of 1

Name of Addition	nal Joint Inventor, if any	<b>'</b> :		A	petition	has been file	ed for th	is unsigne	ed inv	entor	
Given Name (first and middle [if any])					Family Name or Surname						
M	Iichael J.		10			Cima					
Inventor's Signature	Mulle	7	1	_		10/17/0	0	Date			
Residence: City	Winchester	✓ State	MA	Co	untry	US		Citizensh	ip	US	
Post Office Address	184 Mystic Valle	y Park	way								
Post Office Address	.:	·									
City	Winchester	State	MA	7	ZIP 0	1890	Country	US			
Name of Addition	nal Joint Inventor, if any	<b>/</b> :		A	petitio	n has been file	ed for th	nis unsign	ed in	entor	
Given Na	me (first and middle [if any]	)		$\perp$		Family Na	me or S	Surname		·	
Rol	pert S. Langer										
Inventor's Signature								Date	<b>a</b>		
Residence: City	Newton	State	MA	C	ountry	US		Citizen	ship	US	}
Post Office Address	77 Lombard Stre	et									
Post Office Address						· · · · · · · · · · · · · · · · · · ·	_				
City	Newton	State	MA		ZIP	02458	Cour	ntry U	S		
Name of Additio	Name of Additional Joint Inventor, if any:     A petition has been filed for this unsigned inventor										
Given Na	ame (first and middle [if any]) Family Name or Surname										
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Inventor's Signature								Dat	္မယ		70
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# **DECLARATION**

# ADDITIONAL INVENTOR(S) Supplemental Sheet Page 1 of 1

										_
Name of Addition	al Joint Inventor, if any:			□ A	petition	n has been file	d for this	unsigne	ed inventor	
Given Name (first and middle [if any])			Family Name or Surname							
M	lichael J.		ļ			Cima				
Inventor's Signature								Date		
Residence: City	Winchester	State	MA	Co	ountry	US		Citizensh	US US	
Post Office Address	184 Mystic Valley	Park	way							
Post Office Address										
City	Winchester	State	MA		ZIP 0	1890	Country	US		
Name of Addition	nal Joint Inventor, if any	:	. <u></u>	☐ A	petition	n has been file	d for thi	s unsign	ed inventor	
Given Nar	me (first and middle [if any])				Family Name or Surname					
Rob	ert S.					Lange	r			
Inventor's Signature	N.	1/	7 2					9/29	(po	
Residence: City	Newton	State	MA	С	ountry	US		Citizen	US	
Post Office Address	77 Lombard Stree	t								
Post Office Address										
City	Newton	State	MA		ZIP	02458	Count	try U	S	
Name of Addition	nal Joint Inventor, if any	<b>/</b> :			A petitio	n has been file	ed for thi	is unsign	ned inventor	
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STATEMENT UND	ER 37 CFR 3.73(b)
Applicant/Patent Owner. John T. Santini, Jr., Michael J. Cima,	and Robert S. Langer
Application No./Patent No.: 09/665,303 File	d/Issue Date: September 19, 2000
Entitled: MICROCHIP DRUG DELIVERY DEVICES	
Massachusetts Institute of Technology, a Corpor	ration
(Name of Assignee) (Type of Assignee)	gnee, e.g., corporation, partnership, university, government agency, etc.)
states that it is:	
1. X the assignee of the entire right, title, and interest; or	
2. an assignee of an undivided part interest	
in the patent application/patent identified above by virtue of either	ur:
A CVI An assignment from the inventor(s) of the patent application	n/patent identified above. The assignment was recorded in the
Patent and Trademark Office at Reel, Frame	, or for which a copy thereof is attached.
OR	·
B.[] A chain of title from the inventor(s), of the patent application	patent identified above, to the current assignee as shown below:
From:     To     The document was recorded in the Patent and Trad	emark Office at
Reel, Frame, or for which a	copy thereof is attached.
2. From:To	
The document was recorded in the Patent and Trad	copy thereor is attached.
From: To     The document was recorded in the Patent and Trace	
The document was recorded in the Patent and Trac Reel, Frame, or for which a	demark Office at a copy thereof is attached.
[ ] Additional documents in the chain of title are liste	ed on a supplemental sheet.
<ul> <li>Copies of assignments or other documents in the chain of titl [NOTE: A separate copy (i.e., the original assignment documents be submitted to Assignment Division in accordance with recorded in the records of the PTO. See MPEP 302-302.8]</li> </ul>	uent of a time copy of the original document
The undersigned (whose title is supplied below) is empowered to	o sign this statement on behalf of the assignee.
31 October 2000	Dhill Shing
Date	Signature /
	JOHN H. TURNER, JR.
	TASSOCIATE DIRECTOR TECHNOLOGY LICENSING OFFICE
-	Title

#### **ASSIGNMENT**

We, John T. Santini, Jr. of 64 Winslow Road, Belmont, Massachusetts 02478. Michael J. Cima of 184 Mystic Valley Parkway, Winchester, Massachusetts 01890, and Robert S. Langer of 77 Lombard Street, Newton, Massachusetts 02458, in consideration of ten dollars and other valuable consideration paid to us by Massachusetts Institute of Technology, a corporation of the Commonwealth of Massachusetts, having its principal place of business at 77 Massachusetts Avenue, Cambridge, Massachusetts 02139, the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto said Massachusetts Institute of Technology, its successors and assigns, the entire interest for the United States of America, and its territories and all foreign countries and jurisdictions, including all rights of priority under the International Convention for the Protection of Industrial Property, in a certain invention or improvement in "Microchip Drug Delivery Devices" described in U.S. Serial No. 09/665,303 filed in the United States Patent and Trademark Office on September 19, 2000, by John T. Santini, Jr., Michael J. Cima, and Robert S. Langer, which is a continuation-in-part of U.S. Application Serial No. 09/022,322 filed in the United States Patent and Trademark Office on February 11, 1998, now U.S. Patent No. 6,123,861 which will issue September 26, 2000, which is a continuation-in-part of U.S. Patent Application Serial No. 08/675,375 filed in the United States Patent and Trademark Office on July 2, 1996, now U.S. Patent No. 5,797,898 which issued August 25, 1998, and in all Letters Patent of the United States and its territories and all foreign countries and jurisdictions which may or shall be granted on said invention, or any parts thereof, or on said application, or any provisional, divisional, continuation, continuation-inpart, reissue, or other applications based in whole or in part thereon. And we agree, for ourselves and our executors and administrators, with said corporation and its successors and assigns, but at its or their expense or charges, hereafter to execute all applications, amended specifications, deeds or other instruments, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and its territories and in all other foreign countries and jurisdictions to said corporation, with specifications and claims in such form as shall be approved by the counsel of said corporation, and to vest and confirm in said corporation, its successors and assigns, the legal title to all such patents.

Title: "Microchip Drug Delivery Devices" By: John T. Santini, Jr., Michael J. Cima, and Robert S. Langer Filed: September 19, 2000

ASSIGNMENT

And we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said corporation, its successors and assigns.

application of applications based thereon to said c	rporation, its su	ccessors and assigns.
WITNESS my hand and seal this	ay of Octob	, 2000.
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	<b>Joh</b>	n T. Santini, Jr.
County of Massachusetts )  County of Massachusetts )  Then personally appeared the above name the foregoing instrument to be his free act and decomposition of the second		
My Commission expires: 11/04/05	Crotase	e Beil tagy Public

Title: "Microchip Drug Delivery Devices"
By: John T. Santini, Jr., Michael J. Cima, and Robert S. Langer
Filed: September 19, 2000
ASSIGNMENT

WITNESS my hand and seal this 16th day	of October, 2000.
	Muly Cin
	/Mickael J. Cima
Commonwealth of Massachusetts )	
County of Middle ser/	·
Then personally appeared the above named M foregoing instrument to be his free act and deed, before the contraction of the cont	
	Frances Mags
1	Notary Public
My Commission expires:	

Title: "Microchip Drug Delivery Devices"
By: John T. Santini, Jr., Michael J. Cima, and Robert S. Langer
Filed: September 19, 2000
ASSIGNMENT

WITNESS my hand and seal this $\frac{29\%}{29\%}$ day of $\frac{\text{Septenbero}}{\text{Septenbero}}$ , 2000.
Robert S. Langer
Commonwealth of Massachusetts )  County of Middles X  Then personally appeared the above named Robert S. Langer and acknowledged the foregoing instrument to be his free act and deed, before me this 29th day of 2000.
My Commission expires: 11/04/05 Notary Public